

10A NCAC 15 .1238 MAINTENANCE OF RECORDS: REPORTS AND TRANSFERS

- (a) Each licensee shall maintain any records and make any reports in connection with the licensed activities, as may be required by the conditions of the license or by the rules.
- (b) Records which are required by the rules or by license conditions shall be maintained for a period specified by the appropriate rules or by license conditions. If a retention period is not otherwise specified, these records shall be maintained and transferred to the agency as specified in the rules in Section .1600 of this Chapter as a condition of license termination unless the agency otherwise authorizes their disposition.
- (c) Records which shall be maintained pursuant to this Section may be the original or a copy or microfilm, provided the records are capable of being clearly and legibly reproduced. The following records shall be maintained in a permanent form specified by or approved by the agency in writing:
- (1) the location and inventory of disposed waste, to include generator-specific and other information which may be required by the agency;
 - (2) personnel exposure, bioassay and other personnel dose assessment records;
 - (3) geologic, hydrologic and other site characterization records; and
 - (4) any other records that the agency deems appropriate to be maintained in a permanent form based on a determination that retention of the records is necessary to ensure protection of the public and environment during the institutional control period.
- (d) If there is a conflict between the agency's rules or license conditions pertaining to the retention period for the same type of record, the longer retention period specified takes precedence.
- (e) Notwithstanding Paragraphs (a) through (d) of this Rule, copies of records of the location and the quantity of wastes contained in the disposal site shall be transferred to the agency upon transfer of the license to the custodial agency or upon termination of the license.
- (f) Following receipt and acceptance of a shipment of waste, the licensee shall record the date of receipt and disposal of the waste, the location in the disposal site, the condition of the waste packages as received, any discrepancies between materials listed on the manifest and those received, and any evidence of leaking or damaged packages or radiation or contamination levels in excess of limits specified in U.S. Department of Transportation and agency rules. The licensee shall briefly describe any repackaging operations of any of the waste packages included in the shipment, plus any other information required by the agency as a license condition.
- (g) Each licensee authorized to dispose of waste received from other persons shall file a copy of its financial report or a certified financial statement annually with the agency in order to update the information base for determining financial qualifications.
- (h) Each licensee authorized to dispose of waste materials received from other persons pursuant to this Section shall submit annual reports to the agency in accordance with Subparagraphs (h)(1) through (h)(4) of this Rule.
- (1) Reports shall be submitted by the end of the first calendar quarter of each year for the preceding year.
 - (2) If the quantities of radioactive materials released during the reporting period, monitoring results, or maintenance performed are significantly different from those expected in the materials previously reviewed as part of the licensing action, the reports shall cover this specifically.
 - (3) The reports shall include:
 - (A) specification of the quantity of each of the principal radionuclides released to unrestricted areas in liquid and in airborne effluents during the preceding year;
 - (B) the results of the environmental monitoring program;
 - (C) a summary of licensee disposal unit survey and maintenance activities;
 - (D) the location and inventory of disposed waste, including location of each discrete waste shipment or portion thereof;
 - (E) a summary, by waste class, of activities and quantities of radionuclides disposed of;
 - (F) any instances in which observed site characteristics were significantly different from those described in the application for a license; and
 - (G) any other information the agency may require.
 - (4) Reports shall be submitted in duplicate to the agency. The agency shall transfer one copy of each report to the State Records Center for permanent retention.
- (i) Any transfer of radioactive materials by the licensee is subject to the requirements in Rule .0343 of this Chapter.

History Note: Authority G.S. 104E-7; 104E-9(a)(3); 104E-12; 104E-15; 104E-25; 104E-26; Eff. December 1, 1987; Amended Eff. January 1, 1994; Transferred and Recodified from 15A NCAC 11 .1238 Eff. February 1, 2015.

