

**11 NCAC 12 .0421      PREPARATION OF VARIABLE ANNUITY CONTRACT FILINGS**

A company submitting variable annuity contracts to the department for approval must prepare such filings in the following manner:

- (1) Separate filings should be made for individual and group contracts, with all supplementary material grouped accordingly.
- (2) The filing letter should include a listing of all form numbers and a description of the contracts being filed.
- (3) The filing letter should state whether or not the annuity mortality table developed from the company's experience is used, and if so, a copy of such table should accompany the filing.
- (4) The filing letter should clearly indicate the states in which the subject contract has been filed and approved.

*History Note:*      *Authority G.S. 58-2-40; 58-7-95;*  
*Eff. February 1, 1976;*  
*Readopted Eff. September 26, 1978;*  
*Amended Eff. April 1, 1989;*  
*Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. May 1, 2018.*